



Inspector General
Texas Health and Human Services

Nursing Facility Utilization Review Quarterly Stakeholder Meeting

**Office of Inspector General
Investigations and Reviews Division
Surveillance Utilization Review**

September 12, 2022



Purpose and Disclaimer

Purpose:

To promote dialogue regarding Nursing Facility Reviews between and among the attendees. The group will meet periodically to discuss nursing facilities and obtain stakeholder input.

Disclaimer:

The discussions at these stakeholder meetings are for informational purposes only and are not binding on the Health and Human Services Commission Office of Inspector General, Medicaid and CHIP Services, or its representatives.



Nursing Facility: MDS 3.0 Reviews

- FY 2022 Work Plan will continue through October 2022. Review samples contain managed care and fee-for-service claims.
- The sample period is 3/1/2020 through 2/28/2021.
- As of 8/31/2022, 427 on-site reviews have been completed.



In-Person Stakeholder Meetings

We continue to receive overwhelming appreciation for hosting meetings “virtually”. This format allows additional individuals from an organization to attend.

Since COVID and other infectious disease concerns remain in our daily lives, we will continue to follow public health guidelines on strategies that reduce the spread of infectious diseases. Virtual meetings will remain in place until further notice.



Management Notice

Surveillance Utilization Review Deputy Inspector General

Judy Knobloch has retired, and Maureen T Power RN MPH is our Deputy.

NFUR Program Manager

Linda Carlson has retired, and Maureen T Power RN MPH can be contacted with NFUR concerns.

NFUR Team Lead For Reconsiderations

Nicodemus Thiongo



ADL Task Performed by Therapist

NFUR Stakeholders requested a follow-up on ADL coding when the ADL task is performed by a therapist. There are two scenarios from our onsite reviews:

1. ADL task performed by therapist and the same therapist had a therapy session with the same resident same day.
2. ADL task performed by therapist and the same therapist did not have a therapy session with the same resident same day.



ADL Task Performed by Therapist Cont'd

Only skilled therapy time (i.e., requires the skills, knowledge and judgment of a qualified therapist and all the requirements for skilled therapy are met) shall be recorded on the MDS.

In some instances, the time during which a resident received a treatment modality includes partly skilled and partly unskilled time; only time that is skilled may be recorded on the MDS.

Therapist time during a portion of a treatment that is non-skilled; during a non-therapeutic rest period; or during a treatment that does not meet the therapy mode definitions may not be included.

RAI Manual references:

CMS's RAI Version 3.0 Manual: October 2019 O-18.



ADL Task Performed by Therapist Cont'd

1. Therapist progress notes should include specific documentation indicating the time spent performing the ADL task (unskilled time). This time is excluded from the time spent performing therapy session (skilled time).
2. Therapist progress notes would also need to indicate what performance-based scale was utilized by therapist while coding that ADL task or,
3. Therapist may document the ADL task in the ADL flowsheet.



Onsite Review Process Improvement

Beginning December 01, 2022, OIG is streamlining its processes for onsite reviews:

- a) Reviewer will be at the NF for one business day for the review which includes an exit conference.
- b) NF will receive the master list as part of the entrance letter from the nurse reviewer and provide supporting documentation to validate coded items during the onsite review of 2 – 6 hours.
- c) Medical records that can not be provided on the same day the nurse reviewer enters need to be submitted to the designated regional office within 24 hours either via SharePoint or FedEx
 - i. Each MDS on master list should be scanned with its supporting medical records utilized to complete it.
- d) NF ensures assigned staff member for the entire unannounced onsite review



Electronic Records Vs Paper Records

- SharePoint Utilization: most facilities have transitioned to this method for record transmission. Instructions for this process are located at:
 - a) Register my Business Email Address with Microsoft and
 - b) Send Data to the Office of Inspector General
- Fed Ex option of sending paper medical records to a designated regional office is still accepted



Others

- Missing hospice documentation (3071, 3074 and face-to-face documentation kept by hospice company).
- Missing documentation (CHOWS).
- NF unable to access records.



Questions

HHSC Utilization Review email address:

OIG_UR@hhs.texas.gov



Contact Information

Nicodemus Thiongo, RN
Nursing Facility Team Lead

Nicodemus.thiongo@hhs.texas.gov



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